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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Keith A. Fotta and Richard P. Boudrieau

Application No.: 10/820,452 Group Art Unit: 2661  
Filed: April 8, 2004 Examiner: Unknown  
Confirmation No.: 7277

Title: SYSTEM AND METHOD FOR CONTROL OF COMMUNICATIONS CONNECTIONS

CERTIFICATE OF MAILING OR TRANSMISSION	
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INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
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Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application file.  
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of the cited references are enclosed.
- ☒ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
- ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's  
Initials

\_\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

☐ A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.

☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.


☐ Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.

☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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Dated: 1/13/06

PTO-1449 REPRODUCED

ATTORNEY DOCKET NO.  
3682.1001-000APPLICATION NO.  
10/820,452INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATIONFIRST NAMED INVENTOR  
Keith A. FottaFILING DATE  
April 8, 2004

January 12, 2006

EXAMINER  
UnknownCONFIRMATION NO.  
7277GROUP  
2661

Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	A1	2002/0169954 A1	11-14-2002	Bandini et al.
	A2	2003/0135737 A1	07-17-2003	Bouthors
	A3	2003/0126218 A1	07-03-2003	Sakonsaku
	A4	5,999,932	12-07-1999	Paul
	A5	6,049,782	04-11-2000	Gottesman et al.
	A6	2003/0217079 A1	11-20-2003	Bakalash et al.
	A7	6,130,937	10-10-2000	Fotta
	A8			
	A9			
	A10			
	A11			
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	A24			
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EXAMINER

DATE CONSIDERED

PTO-1449 REPRODUCED  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  <b>January 12, 2006</b>  (Use several sheets if necessary)	ATTORNEY DOCKET NO. <b>3682.1001-000</b>	APPLICATION NO. <b>10/820,452</b>	
	FIRST NAMED INVENTOR <b>Keith A. Fotta</b>		FILING DATE <b>April 8, 2004</b>
	EXAMINER <b>Unknown</b>	CONFIRMATION NO. <b>7277</b>	GROUP <b>2661</b>

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER Country Code-Number-Kind Code (if known)	DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION YES NO	
	B1	EP 0 946 022 A2	09-29-1999	Nippon Telegraph and Telephone Corporation		
	B2	WO 03/054764 A1	07-03-2003	LEE, Youn-Sook and WON, Hye-Yon		
	B3	WO 03/107644 A1	12-24-2003	Gryphon Networks, Inc.		
	B4	WO 98/51062 A1	11-12-1998	Telemark Technology, Inc.		
	B5					
	B6					
	B7					
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EXAMINER	DATE CONSIDERED
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PTO-1449 REPRODUCED  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  <b>January 12, 2006</b>  (Use several sheets if necessary)	ATTORNEY DOCKET NO. <b>3682.1001-000</b>		APPLICATION NO. <b>10/820,452</b>	
	FIRST NAMED INVENTOR <b>Keith A. Fotta</b>		FILING DATE <b>April 8, 2004</b>	
	EXAMINER <b>Unknown</b>		CONFIRMATION NO. <b>7277</b>	GROUP <b>2661</b>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

C1	"Managing inbound spam in Lotus Domino 6," presented by Lotus Developer Domain, pp 1-28.
C2	"InboxMaster™" brochure, from Secluda Technologies, Inc.™ (11 pp) (2003).
C3	
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EXAMINER	DATE CONSIDERED
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